

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Marc E. HALPERN et al. :
Serial No. unassigned : Group Art Unit:
Filed: herewith : Examiner:

For: TRANSESTERIFICATION USING PHASE TRANSFER CATALYSTS

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Copies of any cited U.S. Patents and U.S. Patent Publications are not being submitted in accordance with 37 CFR 1.98(a)(2)(i).

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search had been made or that information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item, and Applicant reserves the

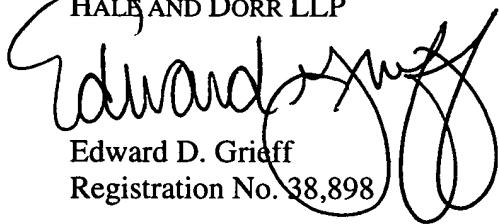
right to prove that the date of publication is in fact different.

Copies of the documents listed on Sheet 1 of Form PTO-1449, were cited in the prosecution of the corresponding PCT patent application. A copy of the search report for the PCT patent application is enclosed.

No fee is believed to be required, however, the Commissioner is authorized to charge any deficiency in any fees pursuant to 37 CFR § 1.17 associated with this communication and to credit any excess payment to Deposit Account No. 08-0219.

Respectfully submitted,

HALS AND DORR LLP

A handwritten signature in black ink, appearing to read "Edward D. Grieff". It is written in a cursive style with some loops and variations in letter height.

Edward D. Grieff
Registration No. 38,898

1455 Pennsylvania Avenue, NW
Washington, DC 20004
TEL 202.942.8400 EDG/mgm
FAX 202.942.8484
Date: 02-24-2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.